

Forward Work Programme - Audit

Date	Title	Key Issues	Author	Cabinet Member
28/01/20 14:00	Pension Fund Actuary	To provide an update on the latest triennial report of the Fund Actuary.		Cllr. Stenner, Eluned;
28/01/20 14:00	Certification of Grants and Returns 2018-19	The Report summarises the results of work on the certification of the Council's 2018-19 Grant Claims and Returns.		Cllr. Stenner, Eluned;
28/01/20 14:00	Regulator Proposals for Improvement Progress Update	To advise the Committee that reports from Regulator's are coming through the system and what proposals for improvement or recommendations are being made.	Roberts, Ros;	Cllr. Stenner, Eluned;
28/01/20 14:00	Corporate Risk Register Update	To update the Committee on the Council's high level risk register so there is assurance the risk process is being managed effectively at the highest level.	Roberts, Ros;	Cllr. Stenner, Eluned;
28/01/20 14:05	Regulation of Investigatory Powers Act 2000 - Information Item	To advise the Committee of the numbers of covert surveillance operations undertaken by the Council in accordance with the provisions of the Regulation of Investigatory Powers Act 2000.	Lane, Lisa;	Cllr. Stenner, Eluned;
28/01/20 14:05	Officers Declarations of Gifts and Hospitality - Information Item.	To provide the Committee with information regarding the Register of Employees' Interests Forms completed by Officers (excluding Schools) regarding Gifts and Hospitality for the last quarter and a comparison with the previous three quarters.	Donovan, Lynne;	Cllr. Stenner, Eluned;
28/01/20 14:05	Corporate Complaints (6 Month Update)	To provide Members with an update on the number of complaints received under the Corporate Complaints Policy for the period 1st April 2019 to the 30th September 2019.	Lane, Lisa;	Cllr. Stenner, Eluned;
28/01/20 14:05	Corporate Governance Review Panel Minutes - 24th September 2019 - Information Item		Harris, Richard M;	Cllr. Stenner, Eluned;
28/01/20 14:05	Caerphilly Certificate of Compliance - Audit of Caerphilly County Borough Councils' Assessment of 2018-19 Performance. - Information Item.	To advise the Committee that the Council has received its statutory certificate of compliance in regard to its performance duties.	Harris, Stephen R;#21	Cllr. Stenner, Eluned;
28/01/20 14:05	Corporate Safeguarding Review Report - Information Item.	To advise the Committee of the Wales Audit Office (WAO) Review of Corporate Safeguarding Arrangements within Caerphilly County Borough Council undertaken in May 2019.	Jenkins, Gareth;	Cllr. Stenner, Eluned;

21/04/20 14:00	Internal Audit Plan for 2020/21	To provide the Committee with an update on the Internal Audit Plan for 2020/21.	Harris, Richard M;	Cllr. Stenner, Eluned;
21/04/20 14:00	Directorate Performance Assessments	To provide the Committee with an update as to the progress of the Directorate Performance Assessments.	Roberts, Ros;	Cllr. Stenner, Eluned;
21/04/20 14:00	External Audit Plan 2020/21	Presented by External Auditors - Grant Thornton.	Harris, Stephen R;	Cllr. Stenner, Eluned;
21/04/20 14:05	Regulation of Investigatory Powers Act 2000 - Information Item.	To advise the Committee of the numbers of the covert surveillance operations undertaken by the Council in accordance with the provisions of the Regulation of Investigatory Powers Act 2000.	Lane, Lisa;	Cllr. Stenner, Eluned;
21/04/20 14:05	Officers Declarations of Gifts and Hospitality - Information Item.	To provide the Committee with the information regarding the Register of Employees Interest Forms' completed by Officers of the Council (excluding Schools) regarding Gifts and Hospitality for the last quarter and a comparison of the previous three months.	Donovan, Lynne;	Cllr. Stenner, Eluned;
21/04/20 14:05	Recent Corporate Governance Panel Minutes. - Information Item		Harris, Richard M;	Cllr. Stenner, Eluned;
09/06/20 14:00	Regulator Proposals for Improvement Update.	To advise the Committee of which reports from the Regulator's are coming through the system and what proposals for improvement or recommendations are being made by the regulators from those reports.	Roberts, Ros;	Cllr. Stenner, Eluned;
09/06/20 14:00	Draft Annual Governance Statement		Harris, Richard M;	Cllr. Stenner, Eluned;
09/06/20 14:00	Corporate Risk Register	To update the Committee on the Council high level risk register so there is assurance the risk process is being managed effectively at the highest level.	Roberts, Ros;	Cllr. Stenner, Eluned;
09/06/20 14:00	Internal Audit Services Annual Report 2019/20.	To provide the Committee with an update on the Internal Audit Annual Report.	Harris, Richard M;	Cllr. Stenner, Eluned;
09/06/20 14:05	Officers Declarations of Gifts and Hospitality	To provide the Committee with the information regarding the Register of Employees' Interests Forms completed by Officer of the Council (excluding Schools) regarding Gifts and Hospitality for the last quarter and a comparison with the previous three quarters.	Donovan, Lynne;	Cllr. Stenner, Eluned;
09/06/20 14:05	Annual Review of Complaints Received under the Council's Corporate Complaints Policy	To provide the Committee with an annual update in relation to the Corporate Complaints received.	Lane, Lisa;	Cllr. Stenner, Eluned;
09/06/20 14:05	Recent Corporate Governance Panel Minutes.		Harris, Richard M;	Cllr. Stenner, Eluned;

09/06/20 14:05	Regulation of Investigatory Powers Act 2000	To advise the Committee of the numbers of covert surveillance operations undertaken by the Council in accordance with the provisions of the Regulation of Investigatory Powers Act 2000.	Lane, Lisa;	Cllr. Stenner, Eluned;
21/07/20 14:00	Local Government Financial Statements and Role of the External Auditor - Presentation		Harris, Stephen R;	Cllr. Stenner, Eluned;
21/07/20 14:00	Audit Committee Assurance over Management Processes and Arrangements		Harris, Stephen R;	Cllr. Stenner, Eluned;
21/07/20 14:00	Financial Settlements for 2019/20.		Harris, Stephen R;	Cllr. Stenner, Eluned;
13/10/20 14:00	WAO Annual Improvement Report 2019/20	External Report.		
13/10/20 14:00	Internal Audit Services Mid-Year Progress Update.	To provide Members with an update on Internal Audit Mid-Year progress.	Harris, Richard M;	Cllr. Stenner, Eluned;
13/10/20 14:05	Regulation of Investigatory Powers Act 2000	To advise the Committee of the numbers of covert surveillance operations undertaken by the Council in accordance with the provisions of the Regulations of Investigatory Powers Act 2000.	Lane, Lisa;	Cllr. Stenner, Eluned;
13/10/20 14:05	Officers Declarations of Gifts and Hospitality.	To advise the Committee with the information regarding the Register of Employees' Interest Forms completed by Officers of the Council (excluding Schools) regarding Gifts and Hospitality for the last quarter and a comparison with the previous three quarters.	Donovan, Lynne;	Cllr. Stenner, Eluned;
13/10/20 14:05	Certificate of Compliance for the Audit of CCBC 2019-20		Harris, Stephen R;	Cllr. Stenner, Eluned;
13/10/20 14:05	Final Audit of Financial Statements Report for 2020/21.	External Auditor to present report.	Harris, Stephen R;	Cllr. Stenner, Eluned;
13/10/20 14:05	Recent Corporate Governance Minutes.		Harris, Richard M;	Cllr. Stenner, Eluned;